Elastography General Principles and Clinical Applications

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KEYWORDS

• Elastography • Ultrasonic imaging • Ultrasonic elastography • MRI

KEY POINTS

- Like conventional medical imaging modalities, forward and the inverse problems are encountered in elastography.
- Quasistatic elastography visualizes the strain induced within tissue using either an external or internal source.
- Direct and iterative inversion schemes have been developed to make quasistatic elastograms more quantitative.
- Soft tissues display several biomechanical properties, including viscosity and nonlinearity, which
 may improve the diagnostic value of elastography when visualized alone or in combination with
 shear modulus. Elastography can characterize the nonlinear behavior of soft tissues and may be
 used to differentiate between benign and malignant tumors.

INTRODUCTION

Elastography visualizes differences in the biomechanical properties of normal and diseased tissues.¹⁻⁴ Elastography was developed in the late 1980s to early 1990s to improve ultrasonic imaging,⁵⁻⁷ but the success of ultrasonic elastography has inspired investigators to develop analogs based on MRI⁸⁻¹¹ and optical coherence tomography.¹²⁻¹⁴ This article focuses on ultrasonic techniques with a brief reference to approaches based on MRI.

The general principles of elastography can be summarized as follows: (1) perturb the tissue using a quasistatic, harmonic, or transient mechanical source; (2) measure the resulting mechanical response (displacement, strain or amplitude, and phase of vibration); and (3) infer the biomechanical properties of the underlying tissue by applying either a simplified or continuum mechanical model to the measured mechanical response.^{2,15–18} This article describes (1) the general principles of quasistatic, harmonic, and transient elastography (**Fig. 1**)—the most popular approaches to elastography—and (2) the physics of elastography—the underlying equations of motion that govern the motion in each approach. Examples of clinical applications of each approach are provided.

THE PHYSICS OF ELASTOGRAPHY

Like conventional medical imaging modalities, forward and the inverse problems are encountered in elastography. The former problems are concerned with predicting the mechanical response of a material with known biomechanical properties and external boundary conditions. Understanding these problems and devising accurate theoretical models to solve them have been an effective strategy in developing and optimizing the performance of ultrasound displacement estimation methods. The latter problems are concerned with estimating biomechanical properties noninvasively using the forward model and knowledge of the mechanical response and external boundary conditions. A comprehensive review of methods developed to

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Fig. 1. Schematic representation of current approaches to elastographic imaging: quasistatic elastography (*left*), harmonic elastography (*middle*), and transient elastography (*right*).

solve inverse problems is given in the article by Doyley¹⁹; therefore, this section focuses only on the forward problem.

The forward elastography problem can be described by a system of partial differential equations (PDEs) given in compact form^{20,21}:

$$\nabla \times \left[\sigma_{ij}\right] = \beta_i \tag{1}$$

where σ_{ij} is the 3-D stress tensor (ie, a vector of vectors), β_i is the deforming force, and ∇ is the del operator. Using the assumption that soft tissues exhibit linear elastic behavior, then the strain tensor (ϵ) maybe related to the stress tensor (σ) as follows²²:

$$\sigma_{ij} = C_{ijkl} \varepsilon_{kl} \tag{2}$$

where the tensor (C) is a rank-four tensor consisting of 21 independent elastic constants.^{16,20,23} Under the assumption that soft tissues exhibit isotropic mechanical behavior, however, then only 2 independent constants, λ and μ (lambda and shear modulus), are required. The relationship between stress and strain for linear isotropic elastic materials is given by:

$$\sigma_{ij} = 2\mu\epsilon_{ij} + \lambda\delta_{ij}\Theta$$
(3)

where $\Theta = \nabla \cdot \mathbf{u} = \varepsilon_{11} + \varepsilon_{22} + \varepsilon_{33}$ is the compressibility relation, δ is the Kronecker delta, and the components of the strain tensor are defined as:

$$\varepsilon_{ij} = \frac{1}{2} \left(\frac{\partial u_i}{\partial j} + \frac{\partial u_j}{\partial x_i} \right)$$
(4)

Lamé constants (ie, λ and μ) are related to Young modulus (E) and Poisson ratio (v), as follows^{20,21}:

$$\mu = \frac{E}{2(1+v)}, \quad \lambda = \frac{vE}{(1+v)(1-2v)}$$
(5)

The stress tensor is eliminated from the equilibrium equations (ie, Equation 2) using Equation 3. The strain components are then expressed in terms of displacements using Equation 4. The resulting equations (ie, the Navier-Stokes equations) are given by:

$$\nabla \boldsymbol{\cdot} \boldsymbol{\mu} \nabla \boldsymbol{u} + \nabla (\lambda + \boldsymbol{\mu}) \nabla \boldsymbol{\cdot} \boldsymbol{u} = \rho \frac{\partial^2 \boldsymbol{u}}{\partial t^2}$$
(6)

where ρ the is density of the material, **u** is the displacement vector, and t is time. For quasistatic deformations, Equation 6 reduces to:

$$\nabla \cdot \mu \nabla \mathbf{u} + \nabla (\lambda + \mu) \nabla \cdot \mathbf{u} = 0$$
(7)

For harmonic deformations, the timeindependent (steady-state) equations in the frequency domain give^{10,24}:

$$\nabla \cdot \mu \nabla \mathbf{u} + \nabla (\lambda + \mu) \nabla \cdot \mathbf{u} = \rho \omega^2 \mathbf{u}$$
(8)

where ω is the angular frequency of the sinusoidal excitation. For transient deformations, the wave equation is derived by differentiating Equation 6 with respect to *x*, *y*, and *z*, which gives²¹:

$$\nabla^2 \Delta = \frac{1}{c_1^2} \frac{\partial^2 \Delta}{\partial t^2}$$
(9)

where $\nabla \cdot \mathbf{u} = \Delta$, and the velocity of the propagating compressional wave, c_1 , is given by:

$$c_1 = \sqrt{\frac{\lambda + 2\mu}{\rho}}$$
(10)

The wave equation for the propagating shear wave is given by:

$$\nabla^2 \zeta = \frac{1}{c_2^2} \frac{\partial^2 \zeta}{\partial t^2}$$
(11)

where $\zeta = \nabla \cdot u/2$ is the rotational vector, and the shear wave velocity, c₂, is given by:

$$c_2 = \sqrt{\frac{\mu}{\rho}}$$
(12)

Analytical methods have been used to solve the governing equations for quasistatic, harmonic, and transient elastographic imaging methods^{25–28} for simple geometries and boundary conditions. Numeric methods—namely, the finite-element method—are used, however, to solve the governing equations for all 3 approaches to elastography on irregular domains and for heterogeneous elasticity distributions.^{24,29–36}

APPROACHES TO ELASTOGRAPHY Quasistatic Elastography

Quasistatic elastography visualizes the strain induced within tissue using either an external or internal source. A small motion is induced within the tissue (typically approximately 2% of the axial dimension) with a quasistatic mechanical source. The axial component of the internal tissue displacement is measured by performing crosscorrelation analysis on pre- and postdeformed radiofrequency (RF) echo frames^{6,7,37} and strain is estimated by spatially differentiating the axial displacements. In quasistatic elastography, soft tissues are typically viewed as a series of 1-D springs that are arranged in a simple fashion. For this simple mechanical model, the measured strain (ϵ) is related to the internal stress (σ) by Hooke's law:

where k is the Young modulus (or stiffness) of the tissue. No method can measure the internal stress distribution in vivo; consequently, the internal stress distribution is assumed to be constant (ie, $\sigma \approx 1$); an approximate estimate of Young modulus is computed from the reciprocal of the measured strain. The disadvantage of computing modulus elastograms in this manner is that it does not account for stress decay or stress concentration; consequently, quasistatic elastograms typically contain target-hardening artifacts,^{31,35} as illustrated in Fig. 2.

Despite this limitation, several groups have obtained good elastograms in applications where accurate quantification of Young modulus is not essential. For example, Fig. 3 shows the results of a case study, where quasistatic elastography was performed on a 73-year-old woman with a phyllodes tumor in the upper outer quadrant of her left breast. Phyllodes tumors are rare variants of fibroadenoma, with a rich stromal component and more cellularity. They grow quickly, developing macroscopically lobulated internal structures and may reach a large size, visibly altering the breast profile. Sonography generally shows a solid, moderately hypoechoic nodule with smooth borders and good sound transmission.38 Inhomogenous structures may be present because of small internal fluid areas. These appearances are nonspecific, and sonography is not currently able to distinguish between benign and malignant cases, nor can it make a differential diagnosis between fibroadenoma and phyllodes tumors.



Fig. 2. Sonogram (*A*) and strain (*B*) elastograms obtained from a phantom containing a single 10-mm diameter inclusion whose modulus contrast was approximately 6.03 dB.



Fig. 3. Sonographic (A) and elastographic (B) images of phyllodes breast tumor. (*Courtesy of* Dr Jeff Bamber, Institute of Cancer Research in London, London, England.)

In the sonogram shown in **Fig. 3**, the tumor covers most of the field of view, with the capsule of the anterior margin visible close to the top of the image and the posterior margin visible at the bottom left. Within the tumor, the appearance is heterogeneous on a large scale, with macroscopic lobules separated by echogenic boundaries that are probably fibrous in nature. The strain elastogram (see **Fig. 3**B) confirms this appearance but shows it much more clearly with greater contrast than the sonogram (see **Fig. 3**A). The macroscopic lobules within the tumor are clearly defined as soft regions separated by stiff septa, which is consistent with the septa being of a fibrous nature.

Direct and iterative inversion schemes have been developed to make quasistatic elastograms more quantitative. These techniques compute



Fig. 4. Modulus elastograms computed from ideal axial and lateral strain estimates (*A*, *left*) and (*B*, *right*) strain estimates that were corrupted with 4% additive white noise. The simulated phantom contained an inclusion with a gaussian modulus distribution that had a peak contrast of 4:1. (*Courtesy of* Dr P. Barbone, Boston University Department of Mechanical and Aeronautic Engineering, Boston, MA.)

the Young's or shear modulus from the measured displacement or strain using the forward elasticity model described in Equation 7. Direct inversion schemes use a linear system of equations derived by rearranging the PDEs that describe the forward elastography problem.^{8,28,39}

$$(\partial_{yy} - \partial_{xx})(\varepsilon_{xy}\mu) + \partial_{xy}(\varepsilon_{xy}\mu) = 0$$
(14)

Equation 14 contains high-order derivatives that amplify measurement noise, which compromises the quality of ensuing modulus elastograms, as demonstrated in **Fig. 4**.

Iterative inversion techniques^{40,41} overcome this issue by considering the inverse problem as a parameter-optimization task, where the goal is to find the Young's modulus that minimizes the error between measured displacement or strain fields and those computed by solving the forward elastography problem. The matrix solution at the (k + 1) iteration that has the general form:

$$\mu^{k+1} = \Delta \mu^{k} + \left[J(\mu^{k})^{\mathsf{T}} J(\mu^{k}) + \rho I \right]^{-1} J(\mu^{k})^{\mathsf{T}} (u_{m} - u\{\mu^{k}\})$$
(15)

where $\[Delta\mu^k\]$ is a vector of shear modulus updates at all coordinates in the reconstruction field and J is the Jacobian, or sensitivity, matrix. The Hessian matrix, $[J(\mu^k)^T J(\mu^k)]$, is ill conditioned. Therefore, to stabilize performance in the presence of measurement noise, the matrix is regularized using 1 of 3 variational methods: the Tikhonov,⁴¹ the Marquardt,⁴² or the total variational method.^{43,44} **Fig. 5** shows an example of modulus elastograms computed with the iterative inversion approach.

The contrast-to-noise ratio of the modulus elastogram is better than that of the strain elastogram, which improved the detection of the boundary between the ablated region and normal tissue to enable accurate determination of the size of the thermal zone.

Harmonic Elastography Based on Local Frequency Estimation

In harmonic elastography,^{5,5–9,34,45} low-frequency acoustic waves (typically <1 kHz) are transmitted within the tissue using a sinusoidal mechanical source. The phase and amplitude of the propagating waves are visualized using either color Doppler imaging^{34,45,46} (**Fig. 6**) or phase-contrast MRI.^{9–11}

Assuming that shear waves propagate with plane wave fronts, then an approximate estimate of the local shear modulus (μ) may be computed from local estimates of the wavelength:

$$v_{\text{shear}} = \sqrt{\frac{\mu}{\rho}}$$
(16)

where v_{shear} is the velocity of the shear wave, and ρ is the density of the tissue. In a homogeneous tissue, shear modulus can be estimated from local estimates of instantaneous frequency.^{47,48} Although shear modulus estimated using this approach is insensitive to measurement noise, the spatial resolution of the ensuing modulus elastograms is limited. A further weakness of the approach is that the plane wave approximation breaks down in complex organs, such as the breast and brain, when waves reflected from internal tissue boundaries interfere constructively and destructively.

Like quasistatic elastography, solving the inverse elastography problem improves the performance of harmonic elastography. **Fig. 7** shows a representative example of an elastogram obtained from a healthy volunteer by solving the inverse harmonic elastography problem. The resolution of the elastograms was sufficiently high to visualize fibroglandular tissue from the adipose tissue.^{49,50}



Fig. 5. Sonogram with arrows indicating ablated tissue (A), strain elastogram (B), and modulus elastogram (C) of RF ex vivo ablated bovine liver. (*Courtesy of* Drs T.J. Hall, T. Varghese, and J. Jiang, University of Wisconsin-Madison, Madison, WI.)



Fig. 6. In vivo porcine liver with a thermal lesion. The sonogram (*A*) shows a lesion with indistinct boundaries. The sonoelastogram (*B*) demonstrates a vibration deficit indicating a hard lesion. Yellow arrows point to the lesion. Red arrows point the boundary of the liver.

Transient Elastography Based on Arrival Time Estimation

A major limitation of harmonic elastography is that shear waves attenuate rapidly as they propagate within soft tissues, which limits the depth of penetration. The transient approach to elastography overcomes this limitation by using the acoustic radiation force of an ultrasound transducer to perturb tissue locally.^{51–53} This elastographic imaging method uses an ultrasound scanner with an ultrahigh frame rate (ie, 10,000 frames per second) to track the propagation of shear waves. As in harmonic elastography, local estimates of shear modulus are estimated from local estimates of wavelength. The reflections of shear waves at internal tissue boundaries make it difficult, however, to measure shear wave velocity—this limitation can be overcome by computing wave speeds directly from the arrival times, as discussed by Ji

Fig. 7. Montage of magnitude MRIs (*A*) and shear modulus elastograms (*B*) recovered from a healthy volunteer using the subzone inversion scheme. (*Courtesy of* Drs J.B. Weaver and K.D. Paulsen, Dartmouth College, Thayer School of Engineering, Dartmouth, NH.)



Fig. 8. Comparison transient shear wave (*upper*) and B-scan (*lower*) images of a breast with pathology confirmed IDC. The maximum diameter on the longitudinal axis on B-mode was 17 mm, whereas both elastographic techniques indicated a larger footprint of the cancer. (*Courtesy of* Dr W. Svensson, Imperial College, London).

and colleagues.⁵⁴ **Fig. 8** shows an example of shear wave elastograms obtained from a breast cancer patient using a commercially available transient elastography system.

THE FUTURE OF ELASTOGRAPHY

Soft tissues display several biomechanical properties, including viscosity and nonlinearity, which may improve the diagnostic value of elastography when visualized alone or in combination with shear modulus. For example, clinicians could use mechanical nonlinearity to differentiate between benign and malignant breast tumors.¹ Furthermore, there is mounting evidence that other mechanical parameters, namely viscosity^{55,56} and anisotropy,⁵⁷ could also differentiate between benign and malignant tissues-similar claims have also been made for shear modulus.57 Not only can these mechanical parameters discriminate between different tissue types but also they may provide value in other clinical areas, including brain imaging,^{58,59} distinguishing the mechanical of active and passive muscle properties groups,60-62 characterizing blood clots,63 and gnosing edema.⁶⁴ Several investigators are actively developing techniques to visualize different mechanical properties using quasistatic, harmonic, and transient elastographic imaging approaches.

Viscoelasticity

In most approaches to elastography, the mechanical behavior of soft tissues is modeled using the theory of linear elasticity (Hooke's law), which is an appropriate model for linear elastic materials (ie, Hookean materials). It is well known, however, that most materials, including soft tissues, deviate from Hooke's law in various ways. Materials that exhibit both fluid-like and elastic (ie, viscoelastic) mechanical behavior deviate from Hooke's law.²⁰ For viscoelastic materials, the relationship between stress and strain is dependent on time. Viscoelastic materials display 3 unique mechanical behaviors: (1) strain increases with time when stress (externally applied load) is sustained over a period of time, a phenomenon known as viscoelastic creep; (2) stress decreases with time when strain is held constant, a phenomenon known as viscoelastic relaxation; and (3) during cyclic loading, mechanical energy is dissipated in the form of heat, a phenomenon known as hysteresis.

Several investigators are actively developing elastographic imaging methods to visualize the mechanical parameters that characterize linear viscoelastic materials (ie, viscosity, shear modulus, and Poisson ratio). For example, Asbach and colleagues⁶⁰ developed a multifrequency method to measure the viscoelastic properties of normal liver tissue versus diseased liver tissue taken from patients with grades 3 and 4 liver fibrosis. They computed the shear modulus and viscosity variations within the tissue by fitting a Maxwell rheological model to the measured data and solving the linear viscoelastic wave equation in the frequency domain. They observed that fibrotic liver tissue had a higher viscosity (14.4 \pm 6.6 Pa s) and elastic modulus (μ_1 = 2.91 \pm 0.84 kPa and μ_2 = 4.83 \pm 1.77 kPa) than normal liver tissue. Their results revealed that although liver tissue is dispersive, it appeared as nondispersive between the frequency range of 25 Hz to 50 Hz. Catheline and colleagues⁶⁵ computed the shear modulus (μ) and viscosity (η) by fitting the measured speed of sound and attenuation equation to Voigt and Maxwell rheological models. They observed that the recovered shear modulus values were independent of the rheological model used, but viscosity values were highly dependent on the models used.

Sinkus and colleagues⁵⁶ developed a directinversion scheme to visualize the mechanical properties of visocelastic materials, in which a curl operation was performed on the timeharmonic displacement field $\mathbf{u}(\mathbf{x},t) = \mathbf{u}(\mathbf{x},t)e^{i\omega t}$ to remove the displacement contribution of the compressional wave. They derived the governing equation that describes the motion incurred in an isotropic, viscoelastic medium by computing the curl of the PDEs that describe the motion incurred by both transverse and compressional shear

$$\rho \partial_t^2 \mathbf{u} = \mu \nabla^2 \mathbf{u} + \eta \partial_t \nabla^2 \mathbf{u}$$
(17)

Sinkus and colleagues⁵⁶ developed a directinversion scheme from Equation 17, in which μ and η were the unknowns. They evaluated the inversion scheme using (1) computer simulations, (2) phantom studies, and (3) patient studies. Their simulation studies revealed that the proposed algorithm could accurately recover shear modulus and viscosity from ideal displacement data. With noisy displacements, however, a good estimate of shear modulus was obtained only when the shear modulus of the simulated tissue was less than 8 kPa. The inversion scheme overestimated the shear modulus values when actual stiffness of the tissue was larger than 8 kPa. A similar effect was observed when estimating viscosity, albeit much earlier (ie, the algorithm provided good estimates of viscosity when $\mu < 5$ kPa). Although the shear modulus affected the bias in the viscosity measurement, the investigators demonstrated that the converse did not occur (ie, the viscosity did not affect the bias in shear modulus). Despite these issues, their phantom studies revealed that inclusions were discernible in both μ and η elastograms, and the viscosity values agreed with previously reported values for gelatin (0.21 Pa s). The patient studies revealed that the shear modulus values of malignant breast tumors were noticeably higher than those of benign fibroadenomas, but there was no significant difference observed in the viscosity of the tumor types, a result that seems to contradict results reported by Qiu and colleagues.55

Nonlinearity

When soft tissues deform by a small amount (an infinitesimal deformation), their geometry in the undeformed and deformed states is similar, thus the deformation is characterized using engineering strain. To characterize finite deformation, first a reference configuration has to be defined, which is the geometry of the tissue under investigation in either the deformed or undeformed state. The Green-Lagrangian strain is defined as:

$$\varepsilon_{ij} = \frac{1}{2} \left[\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} + \frac{\partial u_k}{\partial x_i} \frac{\partial u_k}{\partial x_j} \right]$$
(18)

The nonlinear term is neglected when the magnitude of the spatial derivative is small, to produce the linear strain tensor, as defined in Equation 4. The relationship between stress and strain is nonlinear even for a linearly elastic material when it is undergoing finite deformations. Consequently, Skovoroda and colleagues⁶⁶ proposed a directinversion scheme to reconstruct the shear modulus distribution of a linear elastic material that is undergoing finite deformation.

Some materials exhibit nonlinear material properties that are typically described using a strain energy density function. Among the strain energy functions proposed in the literature, the most widely used for modeling tissues are (1) the neo-Hookean hyperelastic model and (2) the neo-Hookean model with an exponential term. Oberai and colleagues⁶⁷ used a different model, the Veronda-Westman strain energy density function (W), to describe the finite displacement of a hyperelastic solid that is undergoing finite deformation, which is defined by:

$$W = \mu_0 \left(\frac{e^{\gamma(l_1 - 3)} - 1}{\gamma} - \frac{l_2 - 3}{2} \right)$$
(19)

where the terms I_1 and I_2 are the first and second invariants of the Cauchy-Green strain tensor, μ_0 is the shear modulus, and γ denotes the nonlinearity. For the nonlinear case, they proposed an iterative inversion approach to reconstruct a nonlinear parameter and the shear modulus at zero strain.

Using data obtained from volunteer breast cancer patients, one with a benign fibroadenoma tumor and another with an invasive ductal carcinoma (IDC), Oberai and colleagues⁶⁷ observed that for the fibroadenoma case, the tumor was visible in modulus elastograms that had been computed using small strain and large strain (12%), although the contrast of the elastograms computed at large strain (7:1) was lower than that computed at smaller strain (10:1). The fibroadenoma tumor was not visible in nonlinear parameter elastograms. The inclusion in the patient with IDC was discernible in shear modulus elastograms recovered using small and large strains. The stiffness contrast of the modulus elastograms recovered at both small and large strains was comparable, however, and the IDC tumor was visible in nonlinear parameter elastograms. This result is one of several that have demonstrated the clinical value of nonlinear elastographic imaging. Specifically, elastography can characterize the nonlinear behavior of soft tissues and may be used to differentiate between benign and malignant tumors.

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