

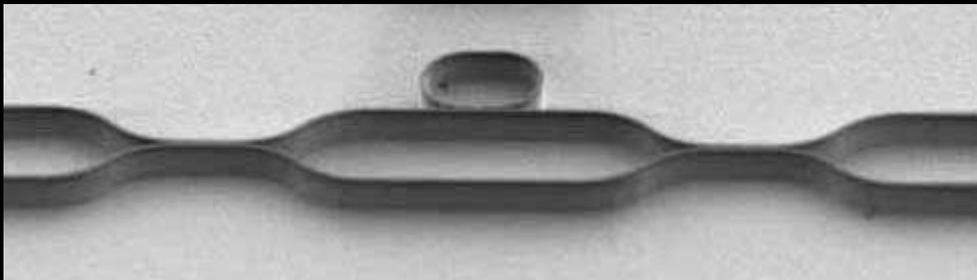
# Enhanced Linear and Nonlinear Optical Phase Response of Microring Resonators for Engineerable Photonic Media

**John E. Heebner\***

**Robert W. Boyd, Nick Lepeshkin, Aaron Schweinsberg**

University of Rochester

\*Now at Lawrence Livermore National Laboratory



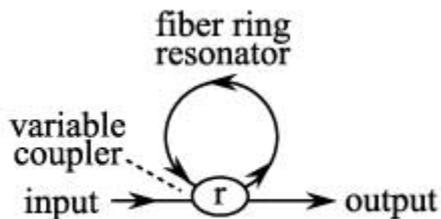
The Institute of   
**OPTICS**

# Motivation

## **Densely integrated & ultrafast photonics on a chip**

- Switching, logic, and pulse manipulation require a fast nonlinear optical mechanism that is sensitive with low absorptive dissipation.
- Compared with other nonlinearities, the Kerr effect below half-gap is ultrafast and dissipates little heat (limited by 3-photon absorption).
- Problem is that waveguide devices have required  $>5$  mm of path length to achieve  $\pi$  phase shifts.
- Side-coupled ring resonators: enhance the nonlinearity while decreasing bandwidth (but from 200 THz to 1 THz).
- **Can resonators reduce this length scale by 100X ? or even 1000X ?**

# Variable Coupler Fiber Ring Resonator



combined splice and coupler loss  
~10% (0.45 dB)

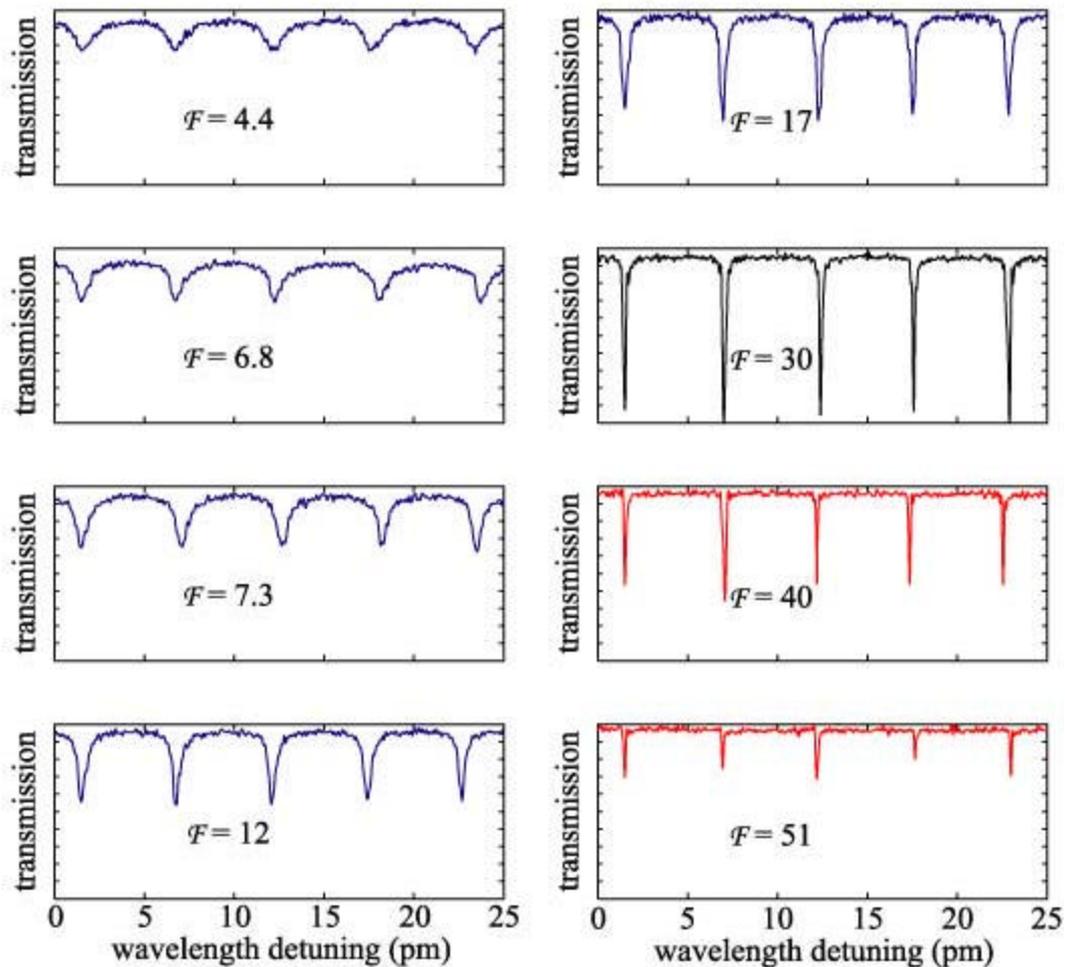
3 regimes:

**Overcoupled** (coupling governs finesse)

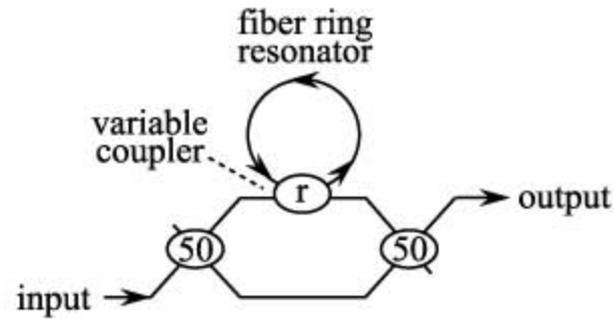
**Critically coupled** (loss = coupling)

**Undercoupled** (loss governs finesse)

Fiber Ring Resonator  
spectral transmission data vs. coupler setting



# Variable Coupler Fiber Resonator Spectral Phase



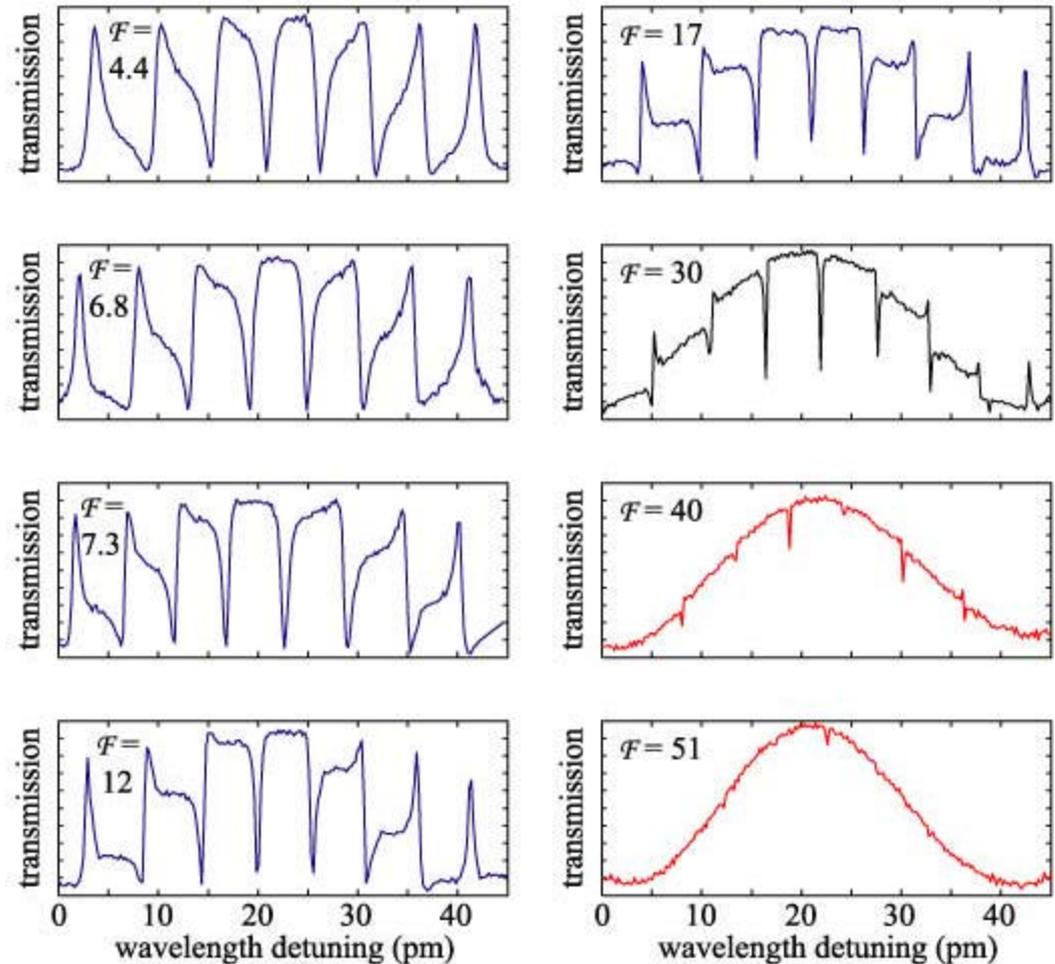
3 regimes:

**Overcoupled** (coupling governs finesse)

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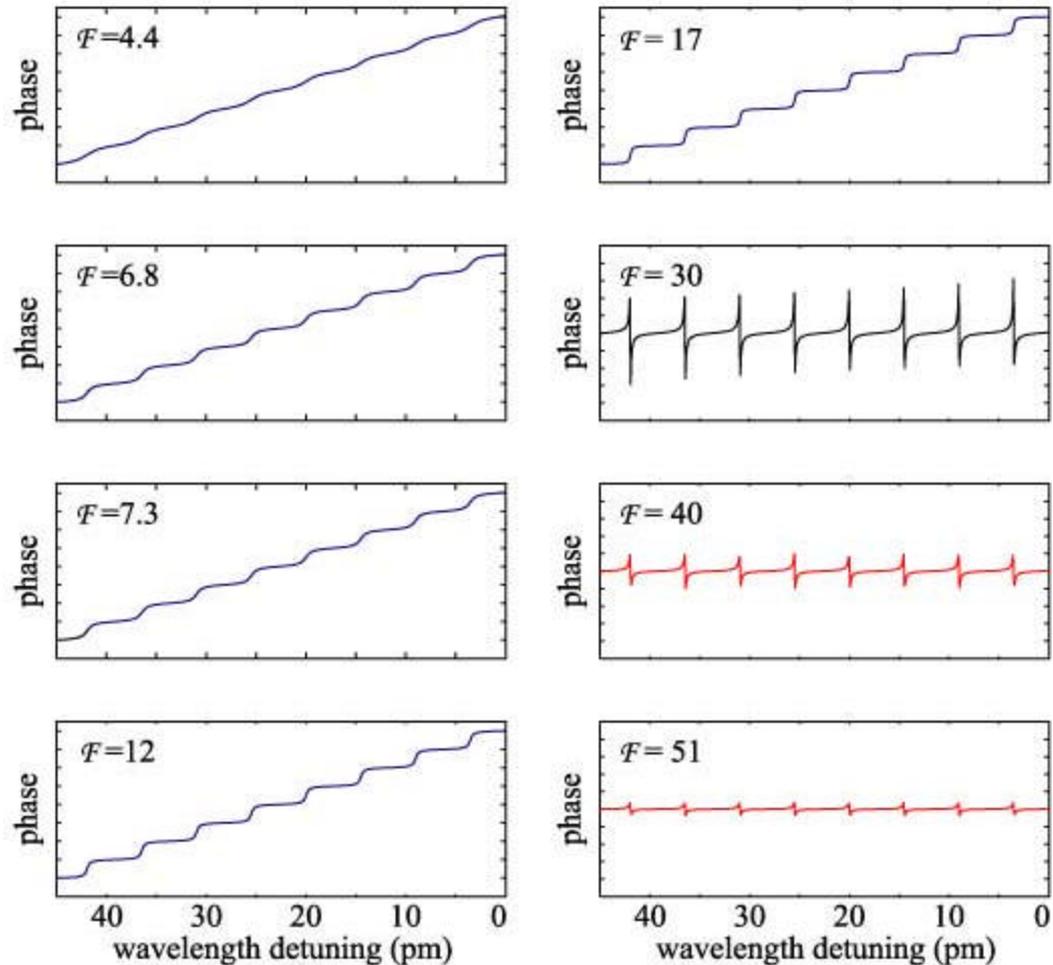
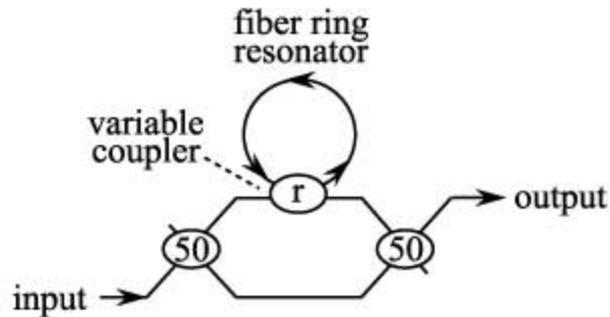
Fiber REMZ  
spectral transmission data vs. coupler setting



# Variable Coupler Fiber Resonator Spectral Phase

Fiber REMZ

fitted phase data vs. coupler setting



3 regimes:

**Overcoupled** (coupling governs finesse)

**Critically coupled** (loss = coupling)

**Undercoupled** (loss governs finesse)

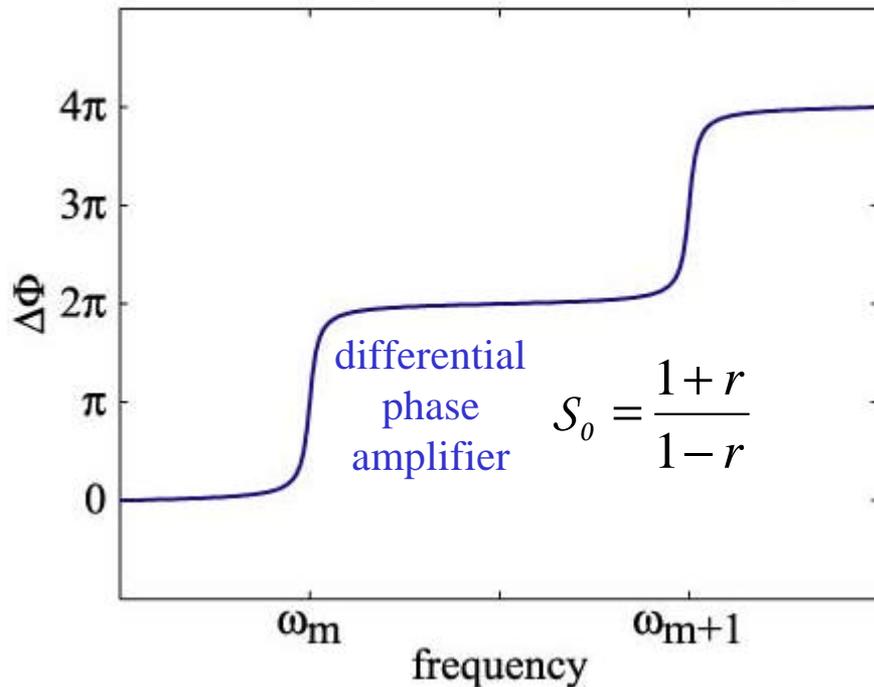
“Optical Transmission Characteristics of Fiber Ring Resonators”

Heebner, Schweinsberg, Wong, Boyd, Accepted J. Quant. Elec., (2004).

# Phase Sensitivity & Intensity Build-Up

The effective phase shift is sensitively dependent on frequency near resonance (related to increased group delay).

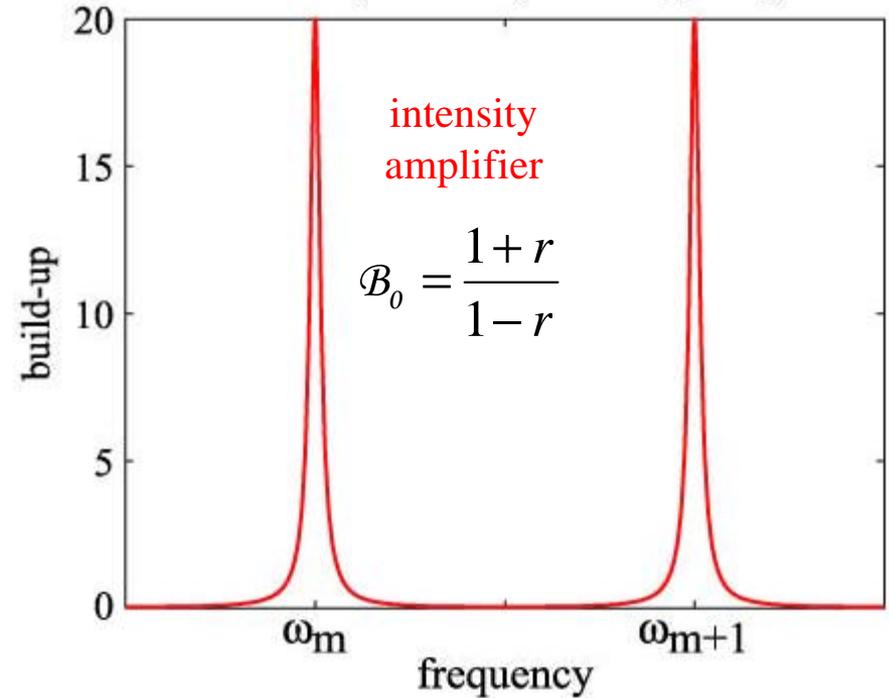
effective phase shift vs. frequency



$$\Phi = \mathbf{p} + \mathbf{f} + 2 \arctan \frac{r \sin \mathbf{f}}{1 - r \cos \mathbf{f}}$$

Near resonances, the circulating field experiences a coherent build-up of intensity.

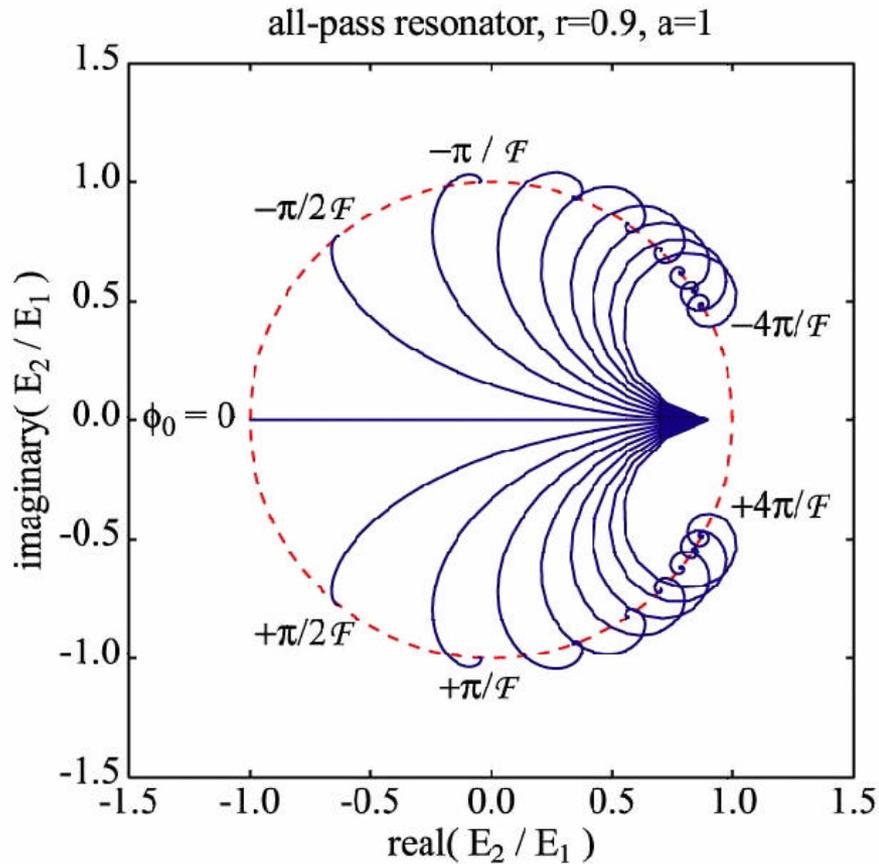
intensity build-up vs. frequency



$$\mathcal{B} = \frac{I_c}{I_1} = \frac{1-r^2}{1-2r \cos \mathbf{f} + r^2}$$

# Phase Sensitivity & Intensity Build-Up

Increased Phase Sensitivity

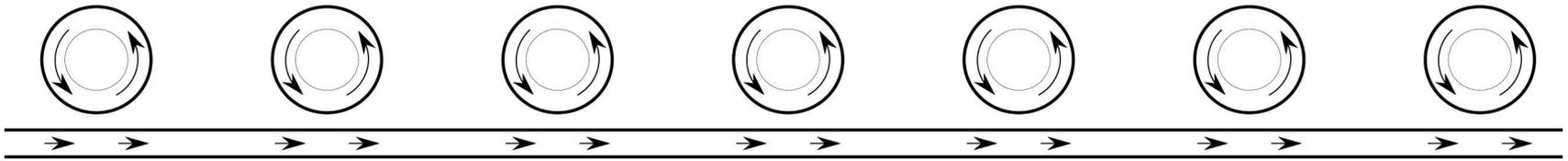


# Scaling Laws

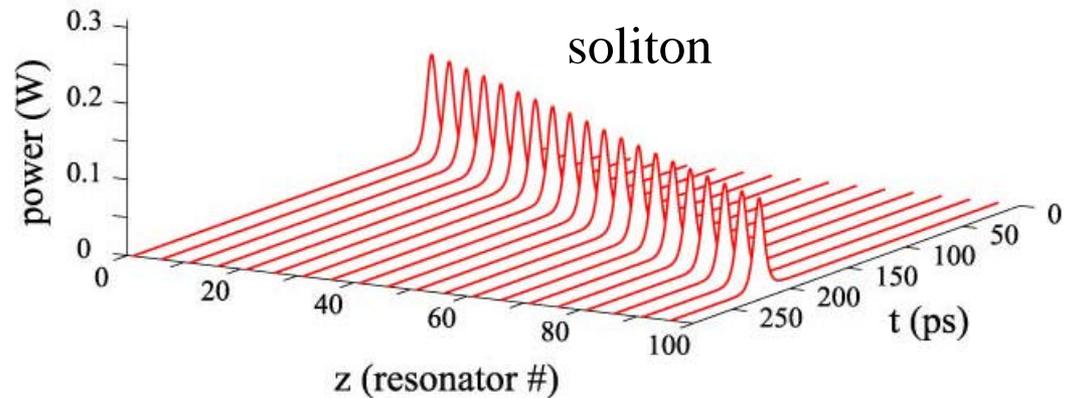
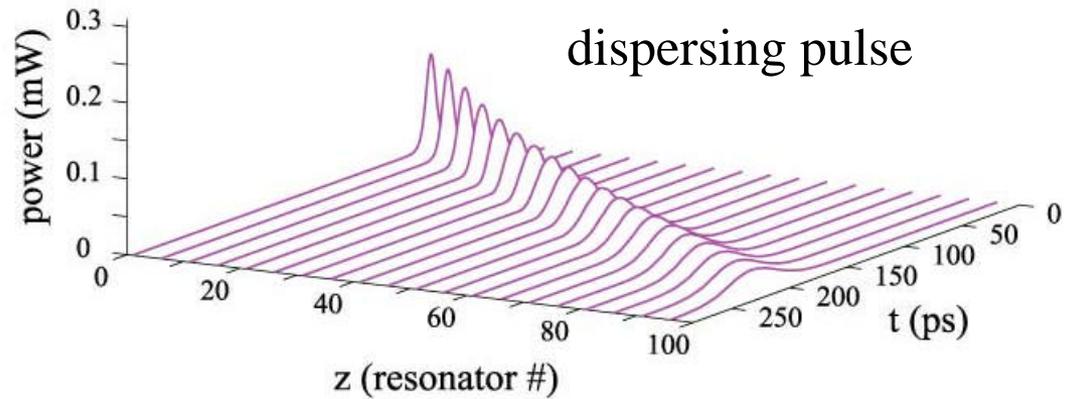
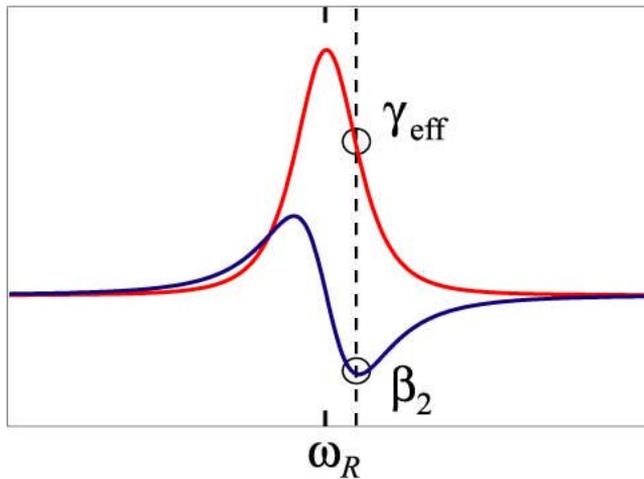
Bandwidth	$Q^{-1}$	
Sensitivity	$Q$	sensing
Group velocity = $c/n_g$	$F^{-1}$	
Intensity $\sim n_g$	$F$	slow light
Linear attenuation $\sim \alpha L$	$Q$	
Nonlinear attenuation (2-photon) $\sim \alpha I L$	$QF$	loss budgets
GVD = $\Delta (n_g/c) / \Delta \omega$	$QF$	dispersion-comp,
Nonlinear phase $\sim n_2 I L$	$QF$	switching, solitons
FWM conversion efficiency $\sim \chi^{(3)} I_P I_I L^2$	$Q^2 F^2$	$\lambda$ -conversion

(Figures of merit can be intuited from products and ratios)

# SCISSOR Solitons



A balancing of  
*induced* anomalous dispersion and  
*enhanced* self-phase modulation



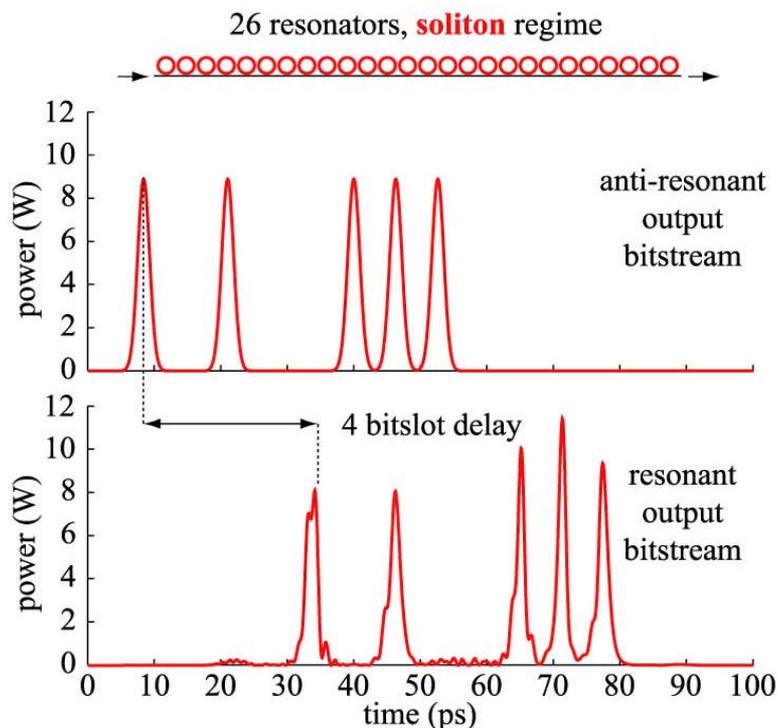
**“SCISSOR Solitons & other propagation effects in microresonator modified waveguides”**

J. E. Heebner, R. W. Boyd, and Q. Park, JOSA B, 19 (2002)

# SCISSOR Applications

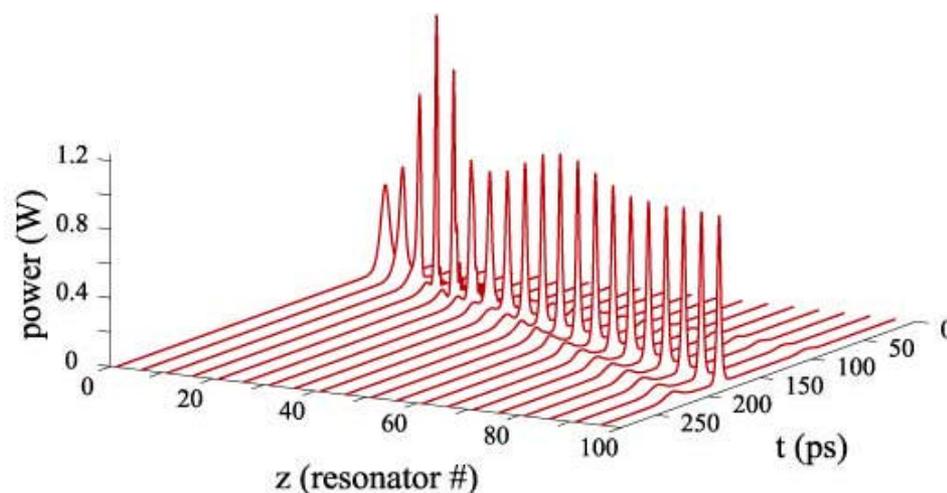
## Delay Lines

- 160 Gb/s
- 4 bitslot delay



## Pulse Compression on a Chip

- High-order soliton splitting  
(*by self-steepening*)
- Clean, *pedestal-free* pulse compression results

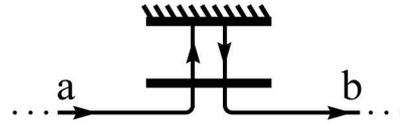
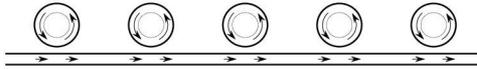


**“Strong Dispersive and Nonlinear Optical Properties of Microresonator-Modified Optical Waveguides”**

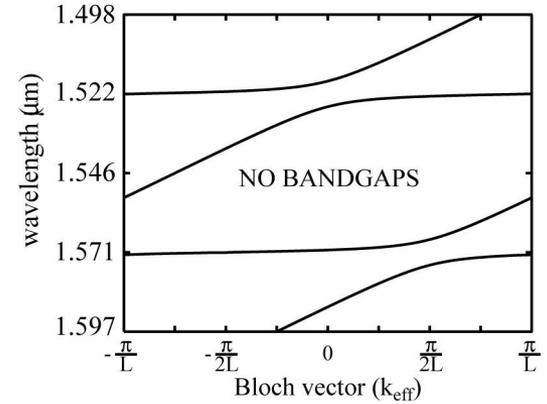
J. E. Heebner and R. W. Boyd, SPIE, 3, 4969-41, (2003)

# SCISSORs & Photonic Crystals

SCISSOR



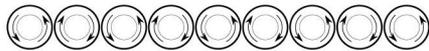
Gires-Tournois



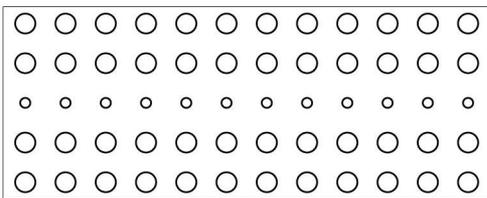
Gratings,



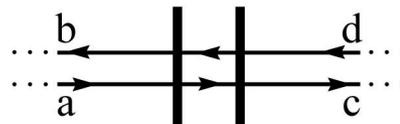
ML Stacks



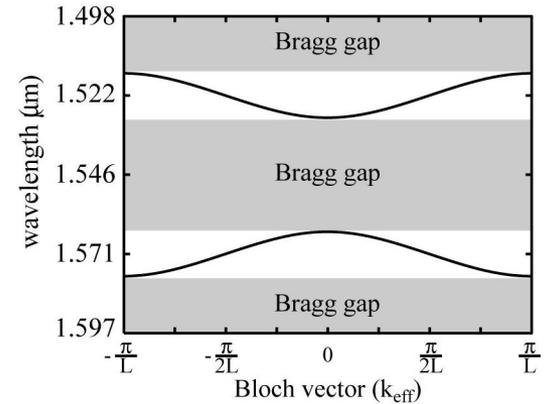
CROWs



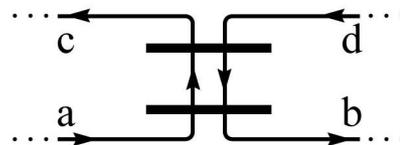
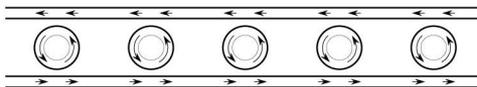
Photonic Crystals



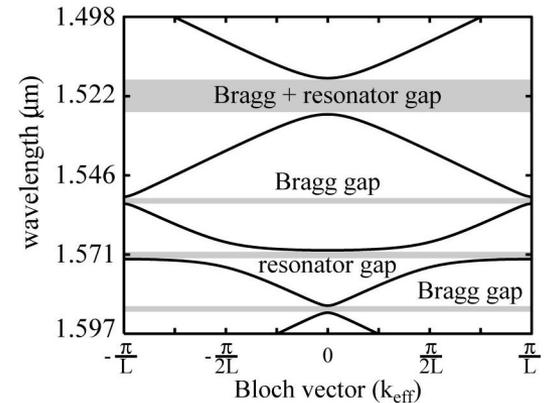
Fabry-Perot



SCISSOR-2



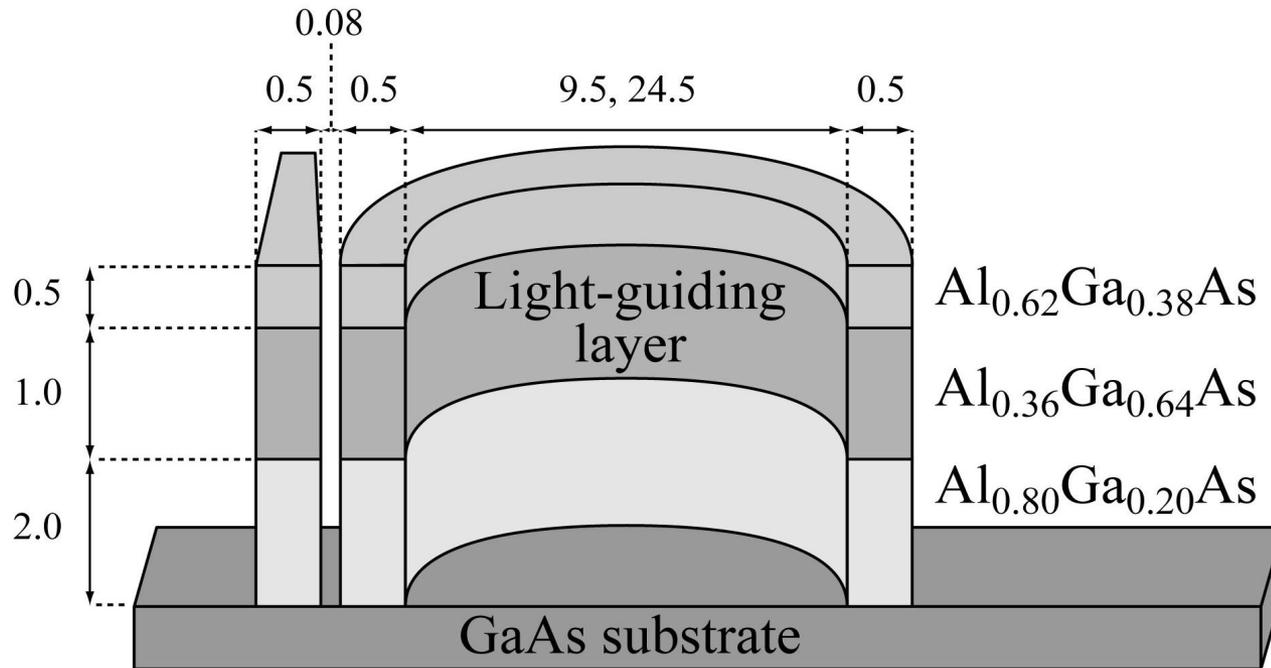
Transverse Fabry-Perot



“Engineerable Photonic Media...”

J. E. Heebner, P. Chak, S. Pereira, J. E. Sipe and R. W. Boyd, JOSAB, (2004)

# Lets Build ONE Resonator First!



(all dimensions in microns)

# Fabrication Process

(1) MBE growth



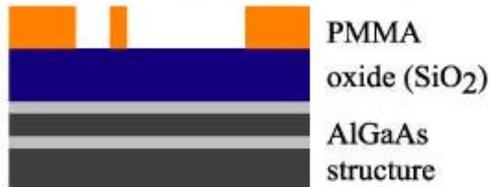
(2) Deposit oxide (PECVD)



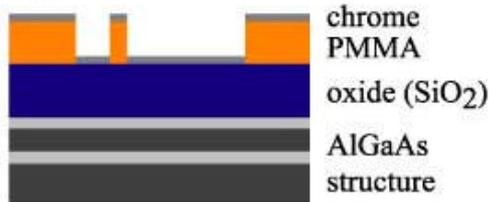
(3) Spin-coat e-beam resist



(4) Pattern directly with e-beam lithography & develop



(5) Deposit chrome



(6) Liftoff chrome atop resist



(7) RIE etch oxide



(8) ICP etch AlGaAs



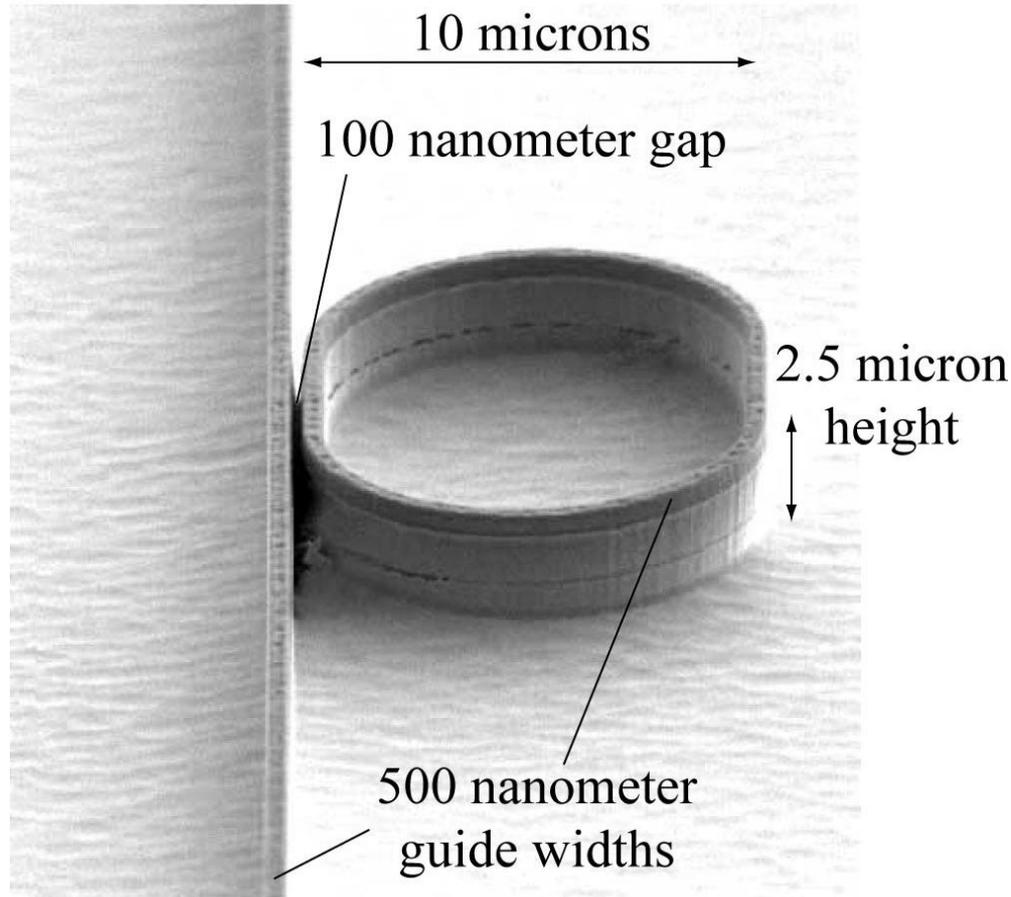
(9) Strip oxide & chrome



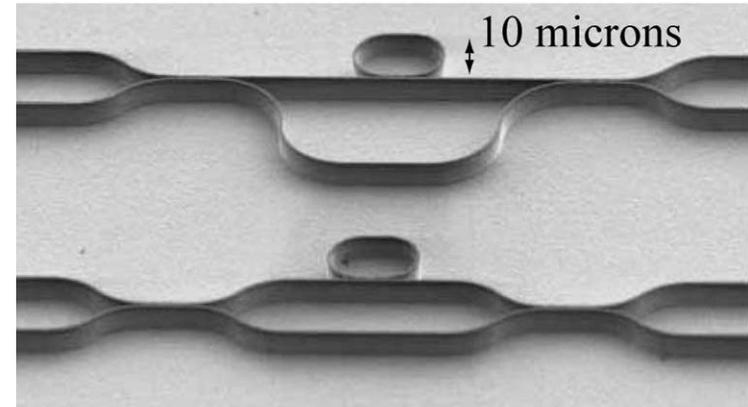
- MBE vertical growth done in Rochester by Gary Wicks
- Lateral patterning processes done at Cornell Nanofabrication Facility (CNF)
- Final etch done at Laboratory for Physical Sciences (UMD)

# Fabricated Devices ( $\text{Al}_{.36}\text{Ga}_{.64}\text{As}$ )

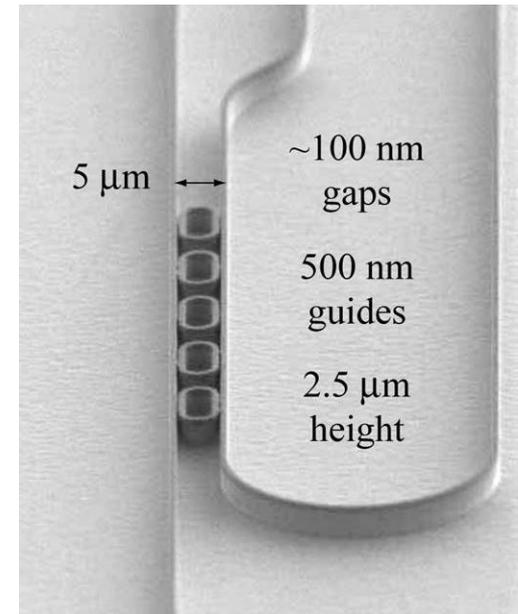
MBE grown, E-BEAM patterned, ICP etched



employed “racetracks” have extended coupling length  $\sim 2\text{-}4$  microns

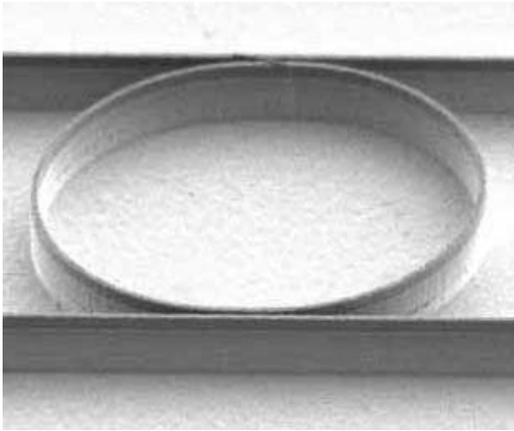


$\sim 100$  nm gaps  
500 nm guides  
2.5  $\mu\text{m}$  height

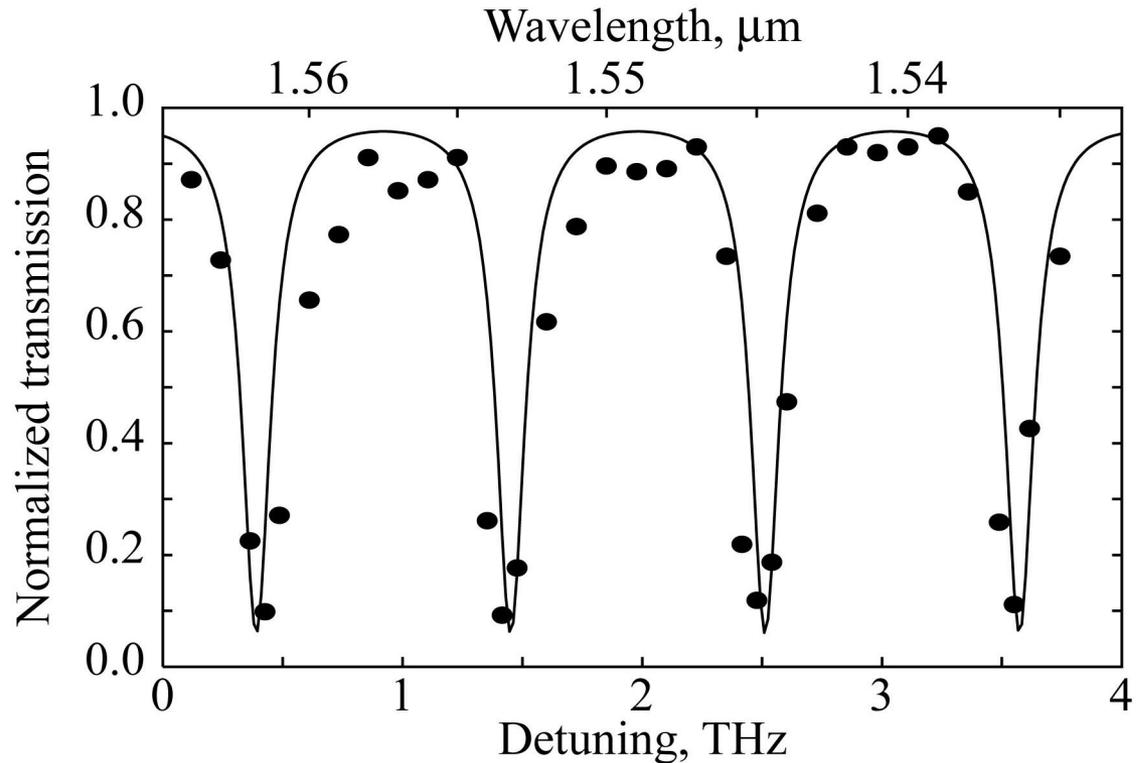


# Microresonator Add-Drop Transmission

12.5 micron radius  
TM excitation



FSR = 1.1 THz (8.8 nm)  
BW = 110 GHz  
F = 10, Q = 1750



A high Q is not our goal – remember:  $Q < 200$  for 1THz bandwidth  
Can do a lot with  $Q = 200$  due to quadratic scaling laws

# Microresonator Effective Phase Shift

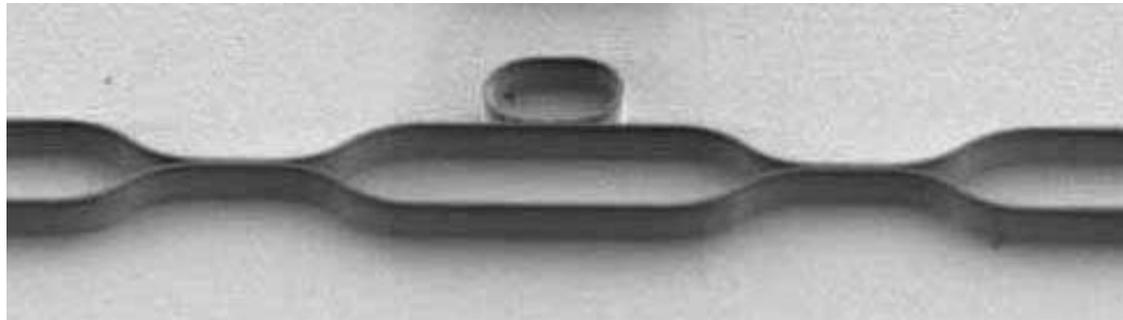
(Inferred from MZI spectral interferogram)

5 micron radius  
TM excitation

FSR = 2.3 THz (18.3 nm)

BW = 240 GHz

F = 10, Q = 800

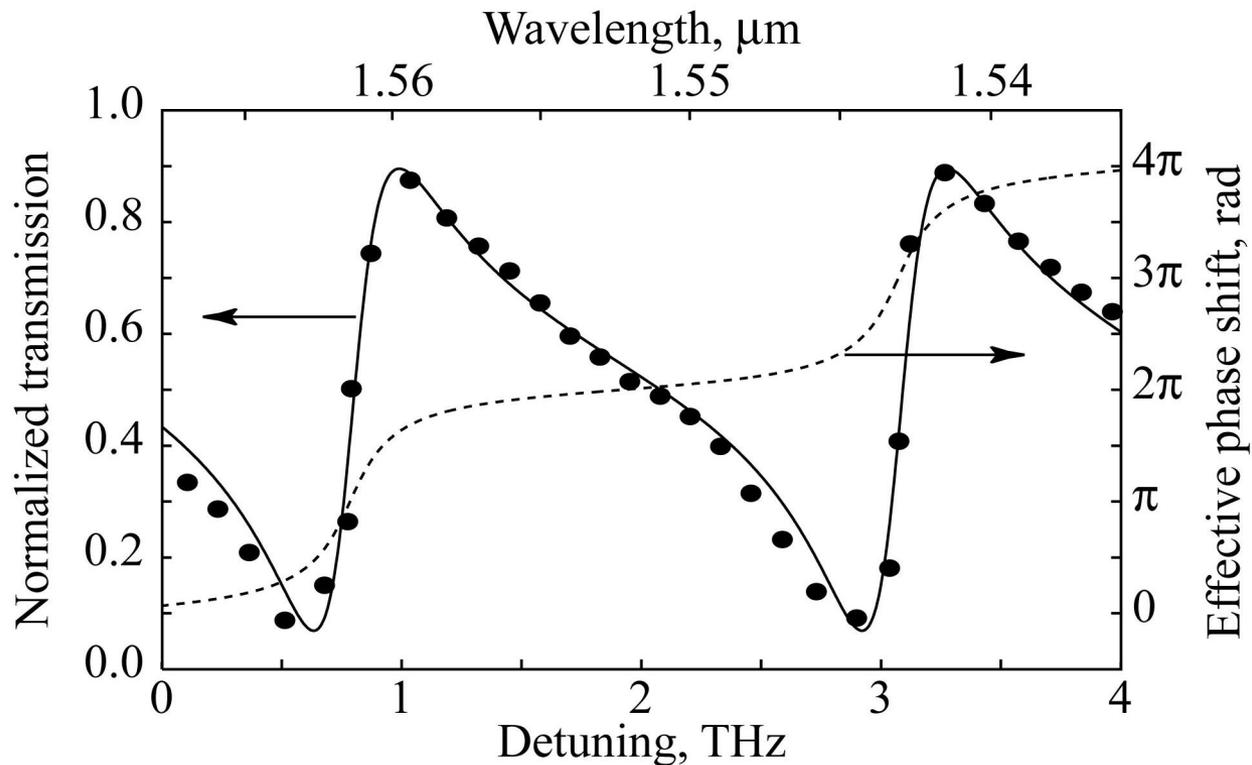


Additionally,  
GVD = 2 ps<sup>2</sup>

If resonators are spaced  
by 20 microns:

GVD = 100 ps<sup>2</sup>/mm

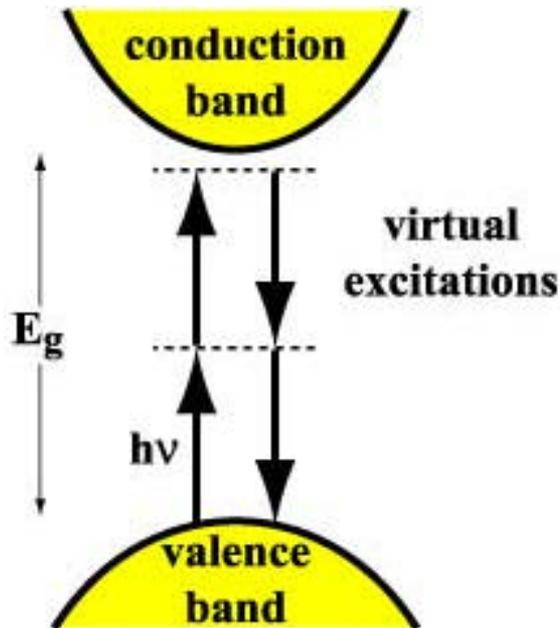
=10<sup>6-7</sup> GVD silica fiber



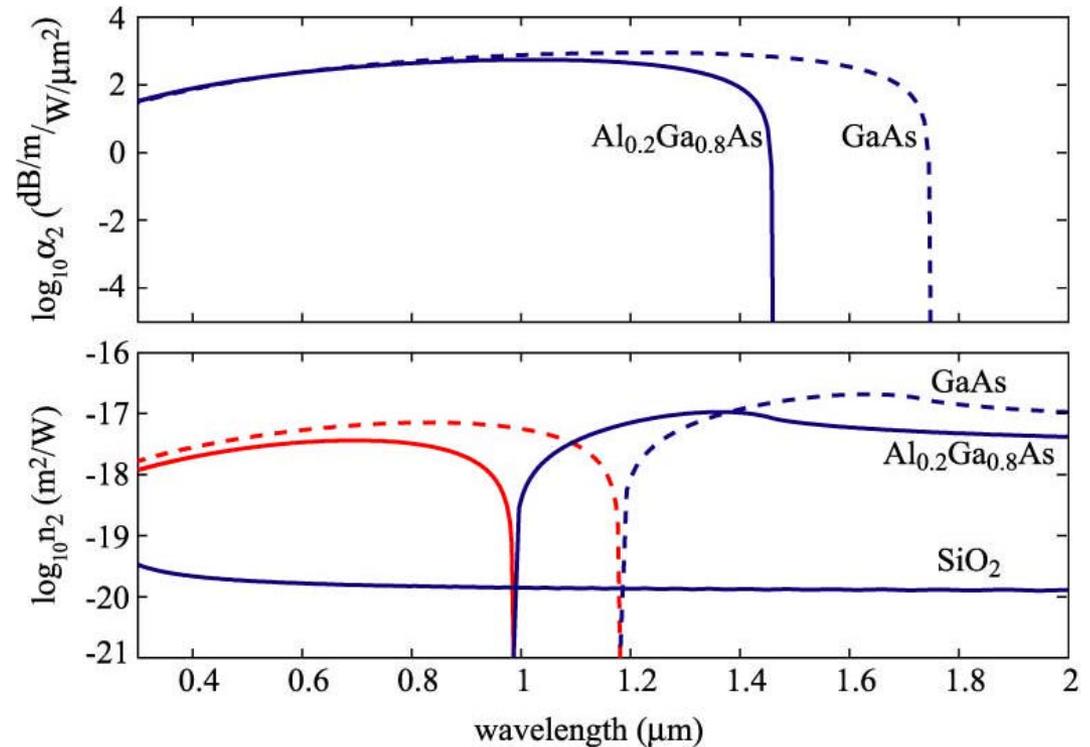
# AlGaAs Kerr Nonlinearity

- **Strong nonlinearity** – the refractive nonlinearities in semiconductors can be 2-3 orders of magnitude larger than in silica glass, due to a smaller bandgap (dependence on bandgap is to the  $-4$  power)
- **Fast, sub-picosecond response** – If the photon energy is slightly less than the half-gap energy, two-photon absorption may be avoided, leaving a reasonably strong nonlinearity. [Sheik-Bahae, Hagan, Van Stryland]
- **Good NL figure of merit (NLFOM)** – If carrier generation via two-photon absorption is avoided, a fast (femtosecond response) bound nonlinearity remains.

$\text{Al}_{0.2-0.4}\text{Ga}_{0.8-0.6}\text{As}$  and **chalcogenide** glasses (e.g.  $\text{As}_2\text{Se}_3$ ) satisfy these requirements [Stegeman, Slusher, Wise].



2-Photon Absorption and Kerr coefficients



# $\pi$ - Kerr Phase Shift from a Single Resonator?

## What injected power is necessary?

Well, for comparison SM silica fiber requires **500 W-m**

- $n_2$  is higher in AlGaAs by  **$\sim 100X$**
- Confinement is tighter (air-cladding) by  $50\mu\text{m}^2 / 0.5\mu\text{m}^2 \sim 100X$
- Resonator enhancement of  **$\sim 100X$**

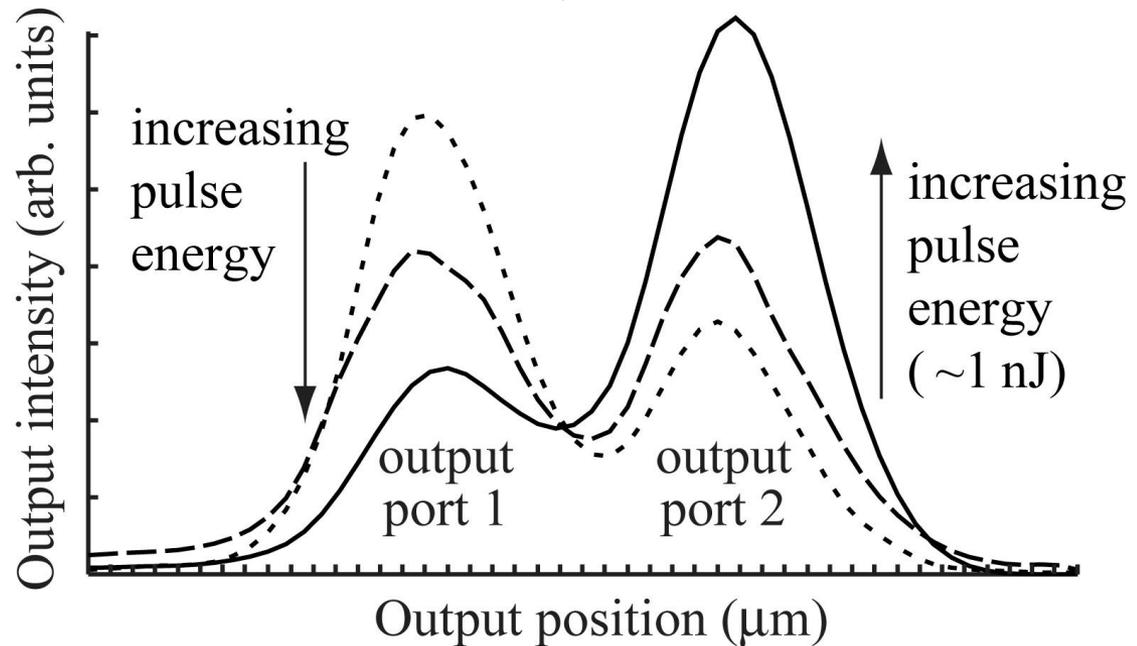
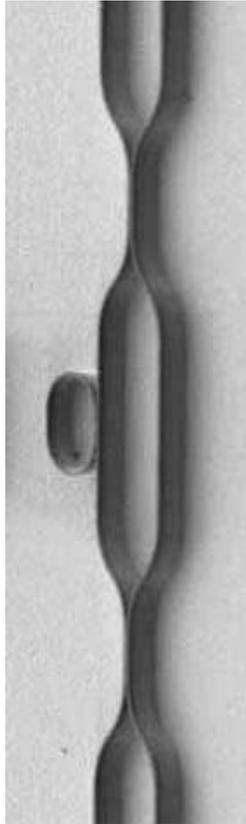
At extracted phase of  $\pi$ , (strongly driven) sensitivity saturates  **$\sim 1/2$**

$$\text{Power Threshold} = 1000 \text{ W-mm} / 35 \text{ mm} \sim 30 \text{ W}$$

# Microresonator Nonlinear Self-Switching

implying an NL phase shift of  $\sim \pi$

output imaged  
on camera



OPG input (10 Hz, 25ps,  $\sim 1 \text{ nJ}$ ,  $1.545 \mu\text{m}$ )

**“Enhanced Linear and Nonlinear Optical Phase Response of AlGaAs Microring Resonators”**

Heebner, Lepeshkin, Schweinsberg, Wicks, Boyd, Grover, Ho, Accepted Opt. Lett, (2004).

# Conclusions

- The nonlinearity and group velocity dispersion can be 6-8 orders of magnitude greater than in fiber. Pulse propagation (as in solitons) behaves similarly but evolves at the **100 micron** scale rather than the **kilometer** scale.
- A SCISSOR connects all-pass filters without feedback so phase is cumulative while **bandgaps are nonexistent** rather than complicated with transmission ripple found in other unapodized PBG media.
- **Losses and irreproducibilities** are still too high for microresonator arrays. Ultimately losses are of the same order as high dielectric contrast photonic crystals.  
The technical barriers to high transmission and precise fabrication in microresonator and photonic crystal systems is being overcome.
- To be feasible as elements in exotic engineerable nonlinear media, we showed that microresonators can indeed display  $f_{\text{NL}} \sim p$ .

# Acknowledgements & Publications

**Gary Wicks**

**Rohit Grover**

**Ping Tong Ho**

**Richard Slusher**

**John Sipe**

**Deborah Jackson**

**Rebecca Welty**

**& others I may  
have forgotten!**

**“Enhanced Linear and Nonlinear Optical Phase Response of AlGaAs Microring Resonators”**  
J. E. Heebner, N. Lepeshkin, A. Schweinsberg, G. Wicks, R. W. Boyd, R. Grover, and P.-T. Ho, accepted Optics Letters, (2004)

**“Optical Transmission Characteristics of Fiber Ring Resonators”**  
J. E. Heebner, V. Wong, A. Schweinsberg, and R. W. Boyd, accepted JQE, (2004)

**“Engineerable Photonic Media: A Comparison of Microresonator-Based Waveguiding Structures for Large Scale Integration of Linear and Nonlinear Optical Devices”**  
J. E. Heebner, P. Chak, S. Pereira, J. E. Sipe and R. W. Boyd, submitted to JOSAB, (2004)

**“Strong Dispersive and Nonlinear Optical Properties of Microresonator-Modified Optical Waveguides”**  
J. E. Heebner and R. W. Boyd, SPIE, 3, 4969-41, (2003)

**“Slow and Fast Light in Resonator-Coupled Waveguides”**  
J. E. Heebner and R. W. Boyd, JMO, (2002)

**“SCISSOR Solitons & Other Propagation Effects in Microresonator-Modified Waveguides”**  
J. E. Heebner, R. W. Boyd, and Q. Park, JOSA B, 19 (2002)

**“Slow Light, Induced Dispersion, Enhanced Nonlinearity, and Optical Solitons in a Resonator-Array Waveguide”**  
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**“Gap Solitons in a Two-Channel SCISSOR Structure”**  
S. Pereira, J. E. Sipe, J. E. Heebner, and R. W. Boyd, Optics Letters, 27 (2002)

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S. Blair, J. E. Heebner, and R. W. Boyd, Optics Letters, 27 (2002)

**“Sensitive Disk Resonator Photonic Biosensor”**  
R. W. Boyd and J. E. Heebner, Applied Optics, 40, pp. 5742-5747, (2001)

**“Enhanced All-Optical Switching Using a Nonlinear Fiber Ring Resonator”**  
J. E. Heebner and R. W. Boyd, Optics Letters, 24, pp.847-849, (1999)